

Risk Profiling of Client

1. Date of Birth:

2. Your source of income

- Salary
- Business
- Pension / other retirement source
- Dependent on other's income

3. Your current annual take-home income from all sources is:

- Under INR 5,00,000.
- INR 5,00,000 to INR 10,00,000.
- INR 10,00,000 to INR 20,00,000.
- Over INR 20,00,000.

4. Liabilities/Borrowings

- Nil
- INR 1,00,000 to INR 10,00,000
- INR 10,00,000 to INR 50,00,000
- Above INR 50,00,000

5. What is the value of your liquid (or investable) assets?

- Less than INR 5,00,000.
- INR 5,00,000 to INR 10,00,000.
- INR 1,000,000 to INR 50,00,000.
- Above INR 50,00,000

6. What kind of investments do you currently own?

- Mainly money market or fixed-income securities (e.g. cash, bonds).
- Mainly fixed-income securities with some equity securities.
- Slightly heavier weighting in equities than fixed-income.
- Mainly aggressive equities with some fixed income.

7. What do you want this investment portfolio to do?

- Provide appreciation of capital in short-term.
- Provide moderate growth in medium term.
- Provide maximum capital appreciation of investment in long term.
- Generate income with some capital appreciation

8. What is your Investment Horizon?

- Below 2 years.
- 2 year to 3 years.
- 3 years to 5 years.

More than 5 years.

9. You invest substantial amount in a stock that goes down by 8% the next day. You.

- average your cost by investing more at a lower price.
- do not bother because you had done enough research on the company.
- book your loss and invest in fixed deposits or bonds.
- hold on till that stock comes back to your cost price and sell it.

10. You have invested in shares that you expect will become multibaggers in 1-2 years. These share prices drop 30% soon after you buy them. You:

- sell.
- average your cost by buying more.
- wait and watch.
- see your cash flow at the end of the year and buy more if the prices of these shares are still low.

I/We hereby declare that I have read, understood and personally accomplished this entire Risk Profiling Questionnaire and that the answers I have given are accurate. I understand the risk involved in investing in equities. I will exercise my own independent judgment in subscribing the suitable package/s (if any) as per my Risk Profile Score.

Name of the Client

Signature of the Client